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E. BALLICO

## On the birational gonality of smooth curves

ABSTRACT. Let  $C$  be a smooth curve of genus  $g$ . For each positive integer  $r$  the birational  $r$ -gonality  $s_r(C)$  of  $C$  is the minimal integer  $t$  such that there is  $L \in \text{Pic}^t(C)$  with  $h^0(C, L) = r + 1$ . Fix an integer  $r \geq 3$ . In this paper we prove the existence of an integer  $g_r$  such that for every integer  $g \geq g_r$  there is a smooth curve  $C$  of genus  $g$  with  $s_{r+1}(C)/(r + 1) > s_r(C)/r$ , i.e. in the sequence of all birational gonalitys of  $C$  at least one of the slope inequalities fails.

**1. Introduction.** Let  $C$  be a smooth curve of genus  $g$ . For each positive integer  $r$  the birational  $r$ -gonality  $s_r(C)$  of  $C$  is the minimal integer  $t$  such that there is  $L \in \text{Pic}^t(C)$  with  $h^0(C, L) = r + 1$  ([1], §2). In this paper we prove the following result.

**Theorem 1.** *Fix an integer  $r \geq 3$ . Then there exists an integer  $g_r$  such that for every integer  $g \geq g_r$  there is a smooth curve  $C$  of genus  $g$  with  $s_{r+1}(C)/(r + 1) > s_r(C)/r$ .*

Theorem 1 means that for the curve  $C$  at least one slope inequality fails. For any integer  $r \geq 1$  the  $r$ -gonality of  $C$  is the minimal degree of a line bundle  $L$  on  $C$  with  $h^0(C, L) \geq r + 1$ . Obviously  $s_r(C) \geq d_r(C)$  if  $r \geq 2$ . Equality holds if  $d_r(C) < r \cdot d_1(C)$  and  $C$  has no non-trivial morphism onto a smooth curve of positive genus. In [6] H. Lange and G. Martens studied

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the slope inequality for the usual gonality sequence of smooth curves (it may fail for some  $C$ , but not for a general  $C$ ).

We work over an algebraically closed base field with characteristic zero.

**2. Working inside a Hirzebruch surface.** Fix  $e \in \mathbb{N}$ . Let  $F_e \cong \mathbb{P}(\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(-e))$  denote the Hirzebruch surface ([4], Chapter V, §2). We call  $\pi : F_e \rightarrow \mathbb{P}^1$  a ruling of  $F_e$ . We have  $\text{Pic}(F_e) \cong \mathbb{Z}^2$  and take as a basis of  $\text{Pic}(F_e)$  a fiber  $f$  of  $\pi$  and a section  $h$  of  $\pi$  with  $h^2 = -e$  ( $\pi$  and  $h$  are unique if  $e > 0$ ). For any finite set  $S \subset F_e$  let  $2S$  denote the first infinitesimal neighborhood of  $S$  in  $F_e$ , i.e. the closed subscheme of  $F_e$  with  $(\mathcal{I}_S)^2$  has its ideal sheaf. We have  $(2S)_{red} = S$  and  $\deg(2S) = 3 \cdot \sharp(S)$ . Fix an integer  $a \geq 0$ . The line bundle  $\mathcal{O}_{F_e}(ah + bf)$  is spanned (resp. very ample) if and only if  $b \geq ea$  (resp.  $b > ea$  and  $a > 0$ ) ([4], V.2.18). We have  $h^1(F_e, \mathcal{O}_{F_e}(ah + bf)) = 0$  if and only if  $b \geq -1$ . If  $b \geq ae$ , then

$$h^0(F_e, \mathcal{O}_{F_e}(ah + bf)) = (a + 1)(2b - ea + 2)/2$$

([5], Proposition 2.3). Assume  $a > 0$  and  $b \geq ae$ ; if  $e = 0$ , then assume  $b > 0$ . Fix any  $Y \in |\mathcal{O}_{F_e}(ah + eaf)|$ . Since  $\omega_{F_e} \cong \mathcal{O}_{F_e}(-2h + (-e - 2)f)$ , the adjunction formula gives

$$\omega_Y \cong \mathcal{O}_Y((a - 2)h + (ea - e - 2)f).$$

Hence  $p_a(Y) = 1 + a(ea - e - 2)/2$ . We have

$$h^0(F_e, \mathcal{O}_{F_e}(ah + eaf)) = (ea + 2)(a + 1)/2.$$

To prove Theorem 1 for the integer  $r$  we will use as  $C$  the normalization of a nodal curve  $Y \in |\mathcal{O}_{F_e}(ah + eaf)|$ , where  $e := r - 1$ .

**Notation 1.** For all integers  $a \geq 1$  and  $e \geq 1$  set  $g_{a,e} := 1 + a(ae - 2 - e)/2$ .

Notice that if  $a \geq 2$ , then  $g_{a,e} - g_{a-1,e} = ae - e - 1$ .

**Lemma 1.** *Assume  $e \geq 2$ . Fix integers  $a, x$ . If  $x = 0$ , assume  $a \geq 1$ . If  $x > 0$ , assume  $a \geq 5$  and  $3x \leq (ea - 2e + 1)(a - 1)/2$ . Fix a general  $S \subset F_e$  such that  $\sharp(S) = x$ . Then*

$$h^1(F_e, \mathcal{I}_{2S}(ah + eaf)) = 0, \quad h^0(F_e, \mathcal{I}_{2S}(ah + eaf)) = (ea + 2)(a + 1)/2 - 3x,$$

*a general  $Y \in |\mathcal{I}_{2S}(ah + eaf)|$  is integral, nodal and with  $\text{Sing}(Y) = S$ .*

**Proof.** First assume  $x = 0$ . Since  $\mathcal{O}_{F_e}(ah + eaf)$  is spanned, Bertini's theorem gives that a general  $Y \in |\mathcal{O}_{F_e}(ah + eaf)|$  is smooth. Since

$$h^0(F_e, \mathcal{O}_{F_e}(h + ef)) + h^0(F_e, \mathcal{O}_{F_e}((c - 1)h + (c - 1)rf)) < h^0(F_e, \mathcal{O}_{F_e}(ch + cf))$$

for every integer  $c \in \{1, \dots, a - 1\}$  and  $|\mathcal{O}_{F_e}(uh + vf)|$  has  $h$  in the base locus if  $u > 0$  and  $v < eu$ ,  $Y$  is also irreducible.

Now assume  $x > 0$ . Fix a general  $S \subset F_e$  such that  $\sharp(S) = x$ . Since

$$3x \leq h^0(F_e, \mathcal{O}_{F_e}((a - 2)h + e(a - 2)f)),$$

$e \geq 2$  and  $a - 2 \geq 3$ , a theorem of A. Laface gives

$$h^1(F_e, \mathcal{I}_{2S}((a-2)h + e(a-2)f)) = 0$$

([5], Proposition 5.2 and case  $m = 2$  of Theorem 7.2). Hence

$$h^1(F_e, \mathcal{I}_{2S}((a-i)h + e(a-i)f)) = 0$$

for  $i = 0, 1$ . Hence

$$h^0(F_e, \mathcal{I}_{2S}(ah + eaf)) = (ea + 2)(a + 1)/2 - 3x.$$

Fix  $P \in F_e \setminus S$  and a general  $A \in |\mathcal{O}_{F_e}(h + e)f|$  containing  $P$ . The curve  $A$  is smooth if  $P \notin h$ , while  $A = h \cup F$  with  $F \in |\mathcal{O}_{F_e}(f)|$  if  $P \in h$ . In all cases we see that  $\mathcal{O}_A(ah + eaf)$  is spanned at  $P$  (in the case  $P \in h$  use the following facts:  $\mathcal{O}_h(ah + eah) \cong \mathcal{O}_h$ ,  $F \cong \mathbb{P}^1$ , and  $\mathcal{O}_{\mathbb{P}^1}(a)$  is spanned). Since  $h^1(F_e, \mathcal{O}_{F_e}((a-1)h + e(a-1)f)) = 0$ ,  $P \in A$  and  $\mathcal{O}_A(ah + eaf)$  is spanned at  $P$ , the exact sequence

$$(1) \quad \begin{aligned} 0 &\rightarrow \mathcal{I}_{2S}((a-1)h + e(a-1)f) \rightarrow \mathcal{I}_{2S}((a-1)h + e(a-1)f) \\ &\rightarrow \mathcal{O}_A(ah + eaf) \rightarrow 0 \end{aligned}$$

gives that  $\mathcal{I}_{2S}(ah + eaf)$  is spanned at  $P$ . Since this is true for all  $P \notin S$ , Bertini's theorem gives  $\text{Sing}(Y) = S$ . In particular  $Y$  has no multiple component. Fix  $P \in S$ . Since  $S$  is general, we have  $P \notin h$ . Since  $|\mathcal{O}_{F_e}(h + ef)|$  induces a morphism with injective differential at  $P$ ,  $|\mathcal{O}_{F_e}(2h + 2af)|$  spans the jets at  $P$  of  $\mathcal{O}_{F_e}$  up to order 2. Hence we may find  $Y' \in |\mathcal{O}_{F_e}(2h + 2ef)|$  with an ordinary node at  $P$ . Since

$$h^1(F_e, \mathcal{I}_{2S}((a-2)h + e(a-2)f)) = 0,$$

we have

$$h^1(F_e, \mathcal{I}_{\{P\} \cup 2(S \setminus \{P\})}((a-2)h + e(a-2)f)) = 0.$$

Hence

$$\begin{aligned} h^0(F_e, \mathcal{I}_{\{P\} \cup 2(S \setminus \{P\})}((a-2)h + e(a-2)f)) \\ = h^0(F_e, \mathcal{I}_{2(S \setminus \{P\})}((a-2)h + e(a-2)f)) - 1. \end{aligned}$$

Hence there is  $Y'' \in |\mathcal{I}_{2(S \setminus \{P\})}((a-2)h + e(a-2)f)|$  such that  $P \notin Y''$ . Hence  $Y'' \cup Y'$  has an ordinary node at  $P$ . Since  $Y'' \cup Y' \in |\mathcal{I}_{2S}(ah + eaf)|$ ,  $S$  is finite and  $Y$  is general,  $Y$  is nodal. Recall that  $\text{Sing}(Y) = S$  and that  $S$  is general. Since  $S$  is general, no pair of points of  $S$  is on the same fiber of the ruling of  $F_e$ . Hence no fiber of  $F_e$  may be an irreducible component of  $Y$ . Since  $\mathcal{O}_{F_e}(ch + ecf) \cdot \mathcal{O}_{F_e}((a-c)h + e(a-c)f) = ec(a-c)$ , we immediately see that  $Y$  is irreducible.  $\square$

**Lemma 2.** *Assume  $e \geq 2$ . Fix integers  $a, x$ . If  $x = 0$ , assume  $a \geq 1$ . If  $x > 0$ , assume  $a \geq 5$  and  $3x \leq (ea - 2e + 1)(a - 1)/2$ . Fix a general  $S \subset F_e$  such that  $\sharp(S) = x$  and a general  $Y \in |\mathcal{I}_{2S}(ah + eaf)|$ . Let  $u : C \rightarrow Y$  denote the normalization map. The line bundle  $u^*(\mathcal{O}_Y(f))$  is spanned*

and  $h^0(C, u^*(\mathcal{O}_Y(f))) = 2$ . Let  $\rho : C \rightarrow \mathbb{P}^1$  be the morphism induced by  $|u^*(\mathcal{O}_Y(f))|$ . Then  $\rho$  is not composed with an involution, i.e. there are no  $(C', \rho', \rho'')$  with  $C'$  a smooth curve,  $\rho' : C \rightarrow C'$ ,  $\rho'' : C' \rightarrow \mathbb{P}^1$ ,  $\rho = \rho'' \circ \rho'$ ,  $\deg(\rho') \geq 2$  and  $\deg(\rho'') \geq 2$ .

**Proof.** Obviously  $u^*(\mathcal{O}_Y(f))$  is spanned. Since  $ae + 1 - e - 2 \geq e(a - 2) - 1$ , Serre's duality gives

$$h^1(F_e, \mathcal{O}_{F_e}(-ah - (ae + 1)f)) = h^1(F_e, \mathcal{O}_{F_e}((a - 2)h + (ae + 1 - e - 2)f)) = 0.$$

Hence  $h^0(Y, \mathcal{O}_Y(f)) = 2$ . Since  $h^i(F_e, \mathcal{O}_{F_e}) = 0$ ,  $i = 1, 2$ ,  $\omega_{F_e} \cong \mathcal{O}_{F_e}(-2h + (-e - 2)f)$ ,  $Y$  is nodal and  $S = \text{Sing}(Y)$ , we have

$$H^0(Y, \omega_Y) \cong H^0(F_e, \mathcal{O}_{F_e}((a - 2)h + (ae - e - 2)f))$$

and  $H^0(C, \omega_C)$  is induced (after deleting the base points) from

$$H^0(F_e, \mathcal{I}_S((a - 2)h + (ae - 2 - e)f)).$$

Hence  $h^0(C, u^*(\mathcal{O}_Y(f))) = 2 = h^0(Y, \mathcal{O}_Y(f))$  if and only if

$$h^1(C, u^*(\mathcal{O}_Y(f))) = x + h^1(Y, \mathcal{O}_Y(f)),$$

i.e. if and only if  $h^1(F_e, \mathcal{I}_S((a - 2)h + (ae - e - 3)f)) = 0$ . The last equality is true, because  $S$  is general and  $x \leq (a - 1)(ea - 2 - 2e)/2 = h^0(F_e, \mathcal{I}_S((a - 2)h + (ae - e - 3)f))$ .

For any  $P \in F_e$  let  $F_P$  be the fiber of the ruling of  $F_e$  containing  $P$ . We fix  $P \in F_e \setminus h$  such that  $F_P \cap S = \emptyset$ . Let  $Z \subset F_P$  be the degree two effective divisor with  $P$  as its support. Take any  $S_1 \subset F_P \setminus \{P, h \cap F_P\}$  such that  $\sharp(S_1) = a - 2$  and set  $Z' := Z \cup S_1$ . Taking the inclusion  $F_P \hookrightarrow F_e$ , we may also see  $Z'$  as a degree  $a$  zero-dimensional subscheme of  $F_e$ .

**Claim.**  $h^1(F_e, \mathcal{I}_{2S \cup Z'}(ah + aef)) = 0$ .

**Proof of the Claim.** Set  $T := h \cup F_P \in |\mathcal{O}_{F_e}(h + f)|$ . Since  $S \cap h = \emptyset$  and  $S \cap F_P = \emptyset$ , we have  $S \cap T = \emptyset$ . Hence  $(2S \cup Z') \cap T = Z'$ . We proved during the proof of Lemma 1 that  $h^1(F_e, \mathcal{I}_{2S}((a - 1)h + (a - 1)ef)) = 0$ . Hence  $h^1(F_e, \mathcal{I}_{2S}((a - 1)h + (ae - e + e - 1)f)) = 0$ . Notice that

$$\mathcal{I}_{2S}((a - 1)h + (ae - e + e - 1)f) \cong \mathcal{I}_{2S}(ah + aef)(-T).$$

Since  $h^1(F_e, \mathcal{I}_{2S}(ah + aef)) = 0$  (Lemma 1), the Claim is true if

$$h^1(T, \mathcal{I}_{Z', T}(ah + aef)) = 0.$$

The nodal curve  $T$  has two irreducible components,  $h$  and  $F_P$ , and both components are isomorphic to  $\mathbb{P}^1$ . Since  $Z' \cap h = \emptyset$ , we have  $Z' \cap h \cap F_P = \emptyset$  and hence the  $\mathcal{O}_T$ -sheaf  $\mathcal{I}_{Z'}(ah + aef)$  is a line bundle. Since  $Z' \cap h = \emptyset$  and  $\mathcal{O}_h(ah + aef) \cong \mathcal{O}_h$ , we have  $\mathcal{I}_{Z', T}(ah + aef)|_h \cong \mathcal{O}_h$ . Since  $\deg(Z') = a$ , we have  $\mathcal{I}_{Z', T}(ah + aef) \cap F_P \cong \mathcal{O}_{F_P}$ . Hence a Mayer-Vietoris exact sequence gives  $h^1(T, \mathcal{I}_{Z', T}(ah + aef)) = 0$ , concluding the proof of the Claim.

The Claim is equivalent to

$$h^0(F_e, \mathcal{I}_{2S \cup Z'}(ah + aef)) = h^0(F_e, \mathcal{I}_{2S}(ah + aef)) - a.$$

Set  $\Gamma := \bigcup_{Q \in S} F_Q$ . We take all  $Y \in |\mathcal{I}_{2S}(ah + eaf)|$  containing some  $Z'$ . The set of all  $P \in F_e$  has dimension 2. For fixed  $P$  the set of all  $S_1 \subset F_P \setminus F_P \cap (\{P\} \cup h)$  with  $\sharp(S_1) = a - 2$  has dimension  $a - 2$ . Each  $Y$  may contain only finitely many schemes  $Z'$ , because each non-constant morphism  $C \rightarrow \mathbb{P}^1$  has only finitely many ramification points. Varying first  $P \in F_e \setminus (h \cup \Gamma)$  and then all  $S_1 \subset F_P \setminus (h \cap F_P \cup \{P\})$  with  $\sharp(S_1) = a - 2$ , we get that a general  $Y \in |\mathcal{I}_{2S}(ah + aef)|$  contains some  $Z'$  for some  $P \in F_e \setminus (h \cup \Gamma)$ . Let  $u : C \rightarrow \mathbb{P}^1$  be the normalization of any such  $Y$ , say containing  $Z' = Z \cup S_1$  with  $Z \subset F_P$ . We saw that  $h^0(C, u^*(\mathcal{O}_Y(f))) = 2$ . Let  $\rho : C \rightarrow \mathbb{P}^1$  be the morphism associated to  $|u^*(\mathcal{O}_Y(f))|$ . Notice that  $\rho$  is induced by the ruling  $\rho_1 : F_e \rightarrow \mathbb{P}^1$ . Set  $Q := \rho_1(P)$ . By the construction  $\rho^{-1}(Q) \cong Z \cup S_1$ , i.e. the fiber of  $\rho$  over  $Q$  contains a point with multiplicity two and  $a - 2$  points with multiplicity one. Hence there are no  $(C', \rho', \rho'')$  with  $C'$  a smooth curve,  $\rho' : C \rightarrow C'$ ,  $\rho'' : C' \rightarrow \mathbb{P}^1$ ,  $\rho = \rho'' \circ \rho'$ ,  $\deg(\rho') \geq 2$  and  $\deg(\rho'') \geq 2$ .  $\square$

**Lemma 3.** *Fix  $S, Y, C, u$  as in Lemma 1 and take any spanned line bundle  $L$  of degree  $> 0$ . Fix a general  $A \in |L|$  and set  $B := u(A)$ . Then  $S \cap B = \emptyset$  and  $h^1(F_e, \mathcal{I}_{S \cup B}((a - 2)h + (ae - e - 2)f)) > 0$ .*

**Proof.** Since  $\deg(L) > 0$ ,  $A \neq \emptyset$ . Since  $L$  is spanned,  $h^0(C, L(-Q)) = h^0(C, L) - 1$  for each  $Q \in C$  and in particular for each  $Q \in A$ . Riemann–Roch gives  $h^1(C, \mathcal{O}_C(A \setminus \{Q\})) = h^1(C, \mathcal{O}_C(A))$  for every  $Q \in A$ . Since  $H^0(C, \omega_C) \cong H^0(F_e, \mathcal{I}_S((a - 2)h + (ae - e - 2)f))$ , we get

$$\begin{aligned} h^0(F_e, \mathcal{I}_{S \cup (B \setminus \{P\})}((a - 2)h + (ae - e - 2)f)) \\ = h^0(F_e, \mathcal{I}_{S \cup B}((a - 2)h + (ae - e - 2)f)) \end{aligned}$$

for every  $P \in B$ . Hence  $h^1(F_e, \mathcal{I}_{S \cup B}((a - 2)h + (ae - e - 2)f)) > 0$ .  $\square$

**Lemma 4.** *Take  $e, a, x, S, Y, C$  as in Lemma 2. Then  $d_1(C) = a$ .*

**Proof.** The line bundle  $u^*(\mathcal{O}_Y(f))$  gives  $d_1(C) \leq a$ . Assume  $z := d_1(C) < a$  and take  $L \in \text{Pic}^z(C)$  evincing  $d_1(C)$ , i.e. evincing the gonality of  $C$ . Fix a general  $A \in |L|$  and set  $B := u(A)$ . Lemma 3 gives

$$h^1(F_0, \mathcal{I}_{S \cup B}((a - 2)h + (ae - 2 - e)f)) > 0.$$

Since  $L$  is spanned and  $A$  is general, we have  $S \cap B = B \cap h = \emptyset$ . Lemma 2 gives  $h^0(C, u^*(\mathcal{O}_Y(f))) = 2$ . Let  $v : C \rightarrow \mathbb{P}^1$  be the morphism induced by  $|L|$  and  $v' : C \rightarrow \mathbb{P}^1$  the morphism induced by  $|u^*(\mathcal{O}_Y(f))|$ . Since  $v'$  is not composed with an involution (Lemma 3), the induced map  $(v, v') : C \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$  is birational onto its image. Hence for general  $B$  we have  $\sharp(D \cap B) \leq 1$  for every  $D \in |\mathcal{O}_{F_e}(f)|$ . Since  $h^0(F_e, \mathcal{O}_{F_e}(h + ef)) > z$ , there is  $A_1 \in |\mathcal{O}_{F_e}(h + ef)|$  containing  $B$ . Since  $B \cap h = \emptyset$  and  $\sharp(D \cap B) \leq 1$  for every  $D \in |\mathcal{O}_{F_e}(f)|$ ,  $A_1$  is irreducible. Hence  $E \cong \mathbb{P}^1$ . Since  $S$  is general

and  $h^0(F_e, \mathcal{O}_{F_e}(h + ef)) = e + 2$ , we have  $\sharp(S \cap A_1) \leq e + 1$ . Hence

$$\sharp(A_1 \cap (S \cup B)) \leq z + e + 1 \leq a + e.$$

Since  $\deg(\mathcal{O}_{A_1}((a - 2)h + (ae - e - 2)f)) = ae - e - 2 \geq a + e - 1$ , we have

$$h^1(A_1, \mathcal{I}_{A_1 \cap (S \cup B), A_1}((a - 2)h + (ae - e - 2)f)) = 0.$$

Hence the case  $i = 1$  of (1) gives

$$h^1(F_e, \mathcal{I}_{S \setminus S \cap A_1}((a - 3)h + ((a - 1)e - e - 2)f)) > 0.$$

Since  $S \setminus S \setminus S \cap A_1$  is general and

$x \leq e(a - 2)(ea - 3e + 2)/2 \leq h^0(F_e, \mathcal{O}_{F_e}((a - 3)h + ((a - 1)e - e - 2)f))$ ,  
we have

$$h^1(F_e, \mathcal{I}_{S \setminus S \cap A_1}((a - 3)h + ((a - 1)e - e - 2)f)) = 0,$$

a contradiction.  $\square$

**Lemma 5.** *Fix integers  $e \geq 2$  and  $a \geq 2$ . Fix any integral  $Y \in |\mathcal{O}_{F_e}(ah + eaf)|$  and call  $u : C \rightarrow Y$  the normalization map. Then  $s_{e+1+2j}(C) \leq ae + je$  for every integer  $j \geq 0$ .*

**Proof.** We have  $h^0(F_e, \mathcal{O}_{F_e}(h + (e + j)ef)) = e + 2 + 2j$ , for every integer  $j \geq 0$ . Since  $a \geq 2$ , we have  $h^0(F_e, \mathcal{I}_Y(h + yf)) = 0$  for any  $y$ . We have  $\mathcal{O}_{F_e}(h + (e + j)f) \cdot \mathcal{O}_{F_e}(ah + eaf) = a(e + j)$ . Since for any  $j \geq 0$  the linear system  $|\mathcal{O}_{F_e}((h + (e + j)f))|$  embeds  $F_e \setminus h$ , the spanned line bundle  $u^*(\mathcal{O}_Y((h + (e + j)f)))$  gives  $s_{e+1+2j}(C) \leq ae + je$ .  $\square$

**Lemma 6.** *Fix an integer  $e \geq 2$ . There is an integer  $A_e \geq 5$  with the following property. Fix integers  $a, x$  such that  $a \geq A_e$  and  $0 \leq x \leq ae - e - 2$ . Moreover, every base point free linear system on  $C$  with degree  $\leq ae$  and birationally very ample is induced (after deleting the base points) from a linear subspace of  $H^0(F_e, \mathcal{O}_{F_e}(h + ef))$ .*

**Proof.** Fix an integer  $z \leq ae$  such that there is a spanned  $L \in \text{Pic}^z(C)$  such that the morphism  $v : C \rightarrow \mathbb{P}^k$ ,  $k := h^0(C, L) - 1$ , induced by  $|L|$  is birational onto its image. Fix a general  $A \in |L|$  and set  $B := u(A)$ . Since  $L$  is spanned and  $A$  is general, we have  $S \cap B = \emptyset$  and  $B \cap h = \emptyset$ . Lemma 3

$$h^1(F_0, \mathcal{I}_{S \cup B}((a - 2)h + (ae - 2 - e)f)) > 0.$$

(a) Since the monodromy group  $G$  of the general hyperplane section of  $v(C)$  is the full symmetric group  $S_z$ ,  $B$  is in uniform position in  $F_e$  and in particular for all integers  $c, t$  such that  $0 \leq c \leq a$  and  $t \geq ec$  and any  $B' \subset B$ , either  $h^0(F_e, \mathcal{I}_{B'}(ch + tf)) = \max\{0, (c + 1)(t + 1) - \sharp(B')\}$  or  $h^0(F_e, \mathcal{I}_B(ch + tf)) > 0$ . In particular,  $\sharp(D \cap B) \leq 1$  for every  $D \in |\mathcal{O}_{F_e}(f)|$ .

(b) In this step we assume  $h^0(F_e, \mathcal{I}_B(h + ef)) > 0$ . Let  $t$  be the minimal non-negative integer such that  $h^0(F_e, \mathcal{I}_B(h + tf)) > 0$ . By assumption we have  $t \leq e$ . Varying  $A$  in  $|L|$ , we get that  $|L|$  is obtained (after deleting

the base locus) from a linear subspace of  $|\mathcal{O}_{F_e}(h + tf)|$ . Since  $|\mathcal{O}_{F_e}(h + tf)|$  sends  $F_e \setminus h$  onto  $\mathbb{P}^1$  if  $t < e$ , while  $v$  is birational onto its image, we get  $t = e$ . Since  $h^0(F_e, \mathcal{I}_B(h + (e - 1)f)) = 0$ , step (a) gives  $\sharp(D \cap B) \leq e - 1$  for every  $\Gamma \in |\mathcal{I}_B(h + (e - 1)f)|$ . Since  $\sharp(D \cap B) \leq 1$  for every  $D \in |\mathcal{O}_{F_e}(1)|$  and  $z > e$ ,  $T$  is irreducible. Hence  $T \cong \mathbb{P}^1$ . Since  $\sharp(B) \leq Y \cdot T = ae$ , we have  $z \leq ae$  and if inequality holds, then  $|L|$  is induced without deleting any base point from  $|\mathcal{O}_{F_e}(h + ef)|$ . Hence  $k \leq e + 1$  and  $v$  is induced (after deleting the base points) from a linear subspace of  $H^0(F_e, \mathcal{O}_{F_e}(h + ef))$ . We get that if  $L$  evinces  $s_{e+1}(C)$  and the assumption of this step holds, then  $s_{e+1}(C) = ae$  and  $L \cong u^*(\mathcal{O}_Y(h + ef))$ .

(c) From now on we assume  $h^0(F_e, \mathcal{I}_B(h + ef)) = 0$ . To conclude the proof of the lemma it is sufficient to find a contradiction for  $a \gg 0$  and any  $x \leq ae - e - 2$ . Set  $c := \lfloor z/(e + 1) \rfloor$ . Set  $S_0 := S$  and  $B_0 := B$ . Fix  $A_1 \in |\mathcal{O}_{F_e}(h + ef)|$  such that  $a_1 := \sharp(A_1 \cap B_0)$  is maximal. Set  $S_1 := S_0 \setminus S_0 \cap A_1$  and  $B_1 := B_0 \setminus B_0 \cap A_1$ . For each integer  $i \geq 2$  define recursively the curve  $A_i \in |\mathcal{O}_{F_e}(h + ef)|$ , the integer  $a_i$ , and the sets  $S_i, B_i$  in the following way. Fix  $A_i \in |\mathcal{O}_{F_e}(h + ef)|$  such that  $a_i := \sharp(A_i \cap B_{i-1})$  is maximal. Set  $S_i := S_{i-1} \setminus S_{i-1} \cap A_i$  and  $B_i := B_{i-1} \setminus B_{i-1} \cap A_i$ . Since  $h^0(F_e, \mathcal{O}_{F_e}(h + ef)) = e + 2$  and  $h^0(F_e, \mathcal{I}_B(h + ef)) = 0$ , step (a) gives  $a_i \leq e + 1$  for all  $i$ . Since  $h^0(F_e, \mathcal{O}_{F_e}(h + ef)) = e + 2$  and  $a_i$  is maximal, either  $a_i = e + 1$  or  $B_i = \emptyset$ . Hence  $a_i = e + 1$  for  $i \leq c$ ,  $a_{c+1} = z - c(a + 1) \leq e + 1$  and  $a_i = 0$  for all  $i \geq c + 2$ . Assume  $a \geq 4e$ . Hence  $(e + 1)^2(a - 3) \geq e(e + 2)a$ . Since  $z \leq ea$ , we get  $c \leq a - 4$ . For each integer  $i = 1, \dots, c + 1$  we have an exact sequence

$$\begin{aligned}
 & 0 \rightarrow \mathcal{I}_{S_i \cup B_i}((a - 2 - i)f + (e(a - i) - e - 2)f) \\
 (2) \quad & \rightarrow \mathcal{I}_{S_{i-1} \cup B_{i-1}}((a - 1 - i)h + (e(a - i + 1) - e - 2)f) \\
 & \rightarrow \mathcal{I}_{A_i \cap (S_{i-1} \cup B_{i-1}, A_i)}((a - 1 - i)h + (e(a - i + 1) - e - 2)f) \rightarrow 0.
 \end{aligned}$$

Fix  $i \in \{1, \dots, c\}$ . By step (a) we have  $\sharp(D \cap B) \leq 1$  for every  $D \in |\mathcal{O}_{F_e}(f)|$ . Hence  $A_i$  is irreducible. Hence  $A_i \cong \mathbb{P}^1$ . Since  $\sharp(D \cap B) \leq 1$  for every  $B \in |\mathcal{O}_{F_e}(f)|$  and  $B \cap h = \emptyset$ , even if  $a_{c+1} \leq a$  we may take an irreducible  $A_{c+1} \in |\mathcal{O}_{F_e}(f)|$  containing  $B_{c+1}$ . Assume for a moment  $c + 1 \leq a - 5$ . Since  $e \geq 2$ , we have  $e(a - c + 1) - e - 2 \geq 2e + 1$ . Set  $x_i := \sharp(S_{i-1} \cap A_i)$ . Since  $S$  is general, we have  $x_i \leq e + 1$ . Hence  $x_i + a_i \leq 2e + 2$ . Since  $A_i \cong \mathbb{P}^1$  and

$$\begin{aligned}
 \deg(\mathcal{O}_{A_i}((a - 1 - i)h + (e(a - i + 1) - e - 2)f)) &= e(a - i + 1) - e - 2 \\
 &\geq e(a - c + 1) - e - 2 \geq 2e + 1,
 \end{aligned}$$

we have

$$h^1(A_i, \mathcal{I}_{A_i \cap (S_{i-1} \cup B_{i-1}, A_i)}((a - 1 - i)h + (e(a - i + 1) - e - 2)f)) = 0.$$

Hence applying (2) first for  $i = 1$ , then for  $i = 2$ , and so on up to  $i = c + 1$ , we get

$$h^1(F_e, \mathcal{I}_{S_{c+1}}((a - 3 - c)f + (e(a - c - 1) - e - 2)f)) > 0.$$

Since  $2e \geq e + 1$ , we have

$$h^1(F_e, \mathcal{O}_{F_e}((a-3-c)f + (e(a-c-1) - e-2)f)) = 0.$$

Since  $S$  is general and  $S_c \subseteq S$ , to have  $h^1(F_e, \mathcal{I}_{S_{c+1}}((a-3-c)f + (e(a-c-1) - e-2)f)) = 0$  (and hence a contradiction), it is sufficient to have

$$\sharp(S_c) \leq h^0(F_e, \mathcal{O}_{F_e}((a-3-c)f + (e(a-c-1) - e-2)f)).$$

Since  $\sharp(S_c) \leq x$ , it is sufficient to have  $x \leq (a-3-c)(e(a-3-c) + 2e-2)/2$ . Since  $x \leq ae - e - 2$ , it is sufficient to have  $(a-c-3)^2e/2 \geq ae$ . Thus it is sufficient to have  $c \leq a-3-\sqrt{2a}$ . Since  $c \leq ea/(e+1)$ , it is sufficient to have  $a - (e+1)\sqrt{2a} - 3e - 3 \geq 0$ . Hence we may take  $A_e = 32(e+1)^2$ . Notice that we also checked the assumption  $a-c-1 \leq a-5$ .  $\square$

**Lemma 7.** *Take  $e \geq 2$ ,  $A_e$ ,  $a \geq A_e$ ,  $0 \leq x \leq ea - e - 2$ ,  $S$ ,  $Y$  and  $C$  as in Lemma 5.*

(a) *We have  $s_e(C) = ea - 1 - \min\{1, x\}$ .*

(b) *If  $x > 0$ , then each  $L \in \text{Pic}(C)$  evincing  $s_e(C)$  is induced by  $|\mathcal{I}_{\{P\}}(h + ef)|$  (after deleting the degree 2 base locus  $u^{-1}(P)$ ) for some  $P \in S$ . For an arbitrary  $x$  any spanned and birationally very ample line bundle  $M$  of degree  $ea - 1$  is induced by  $|\mathcal{I}_{\{P\}}(h + ef)|$  (after deleting the degree 1 base locus  $u^{-1}(P)$ ) for some  $P \in Y \setminus (S \cup h)$ .*

**Proof.** The linear systems described in part (b) shows that  $s_e(C) \leq ea - 1 - \min\{1, x\}$ . By Lemma 7 any such birationally very ample and spanned complete linear system  $|L|$  is induced (after deleting the base locus) from a codimension 1 linear subspace  $V$  of  $H^0(F_e, \mathcal{O}_{F_e}(h + ef))$ . Call  $\mathcal{B} \subset F_e$  the base scheme of  $V$  as a linear system on  $F_e$  and  $\mathbb{B}$  the base locus of  $u^*(V)$  on  $C$ . Since  $h^0(C, u^*(\mathcal{O}_Y(h + ef))) \geq e + 2$ , we have  $\mathbb{B} \neq \emptyset$ . Obviously  $\mathbb{B}_{red} = u^{-1}(\mathcal{B} \cap Y)$ . Hence  $\mathcal{B} \cap Y \neq \emptyset$ . Since  $\mathcal{O}_h(h + ef) \cong \mathcal{O}_h$ ,

$$h^0(F_e, \mathcal{O}_{F_e}(h + ef)) = 2 + h^0(F_e, \mathcal{O}_{F_e}(ef))$$

and  $V$  has codimension 1 in  $H^0(F_e, \mathcal{O}_{F_e}(h + ef))$ , we have  $h \cap \mathcal{B} = \emptyset$ . Since  $|\mathcal{O}_{F_e}(h + ef)|$  induces an embedding of  $F_e \setminus h$ , the scheme  $\mathcal{B}$  must be a single point,  $P$ , with its reduced structure. Since  $\mathcal{B} \cap Y \neq \emptyset$ , we have  $P \in Y$ . We have  $\deg(L) = ae - 1$  if  $P \notin S$  and  $\deg(L) = ae - 2$  if  $P \in S$ .  $\square$

**3. Proof of Theorem 1.** We fix the integer  $r \geq 3$  for which we want to prove Theorem 1 and set  $e := r - 1$ . Hence  $e \geq 2$ . Fix  $A_e$  as in Lemma 6 and any integer  $g \geq eA_e^2/2 - eA_e + e + 2$ . Let  $a$  be the minimal integer such that  $g \leq g_{a,e}$ . Since  $g_{a,e} - g_{a-1,e} = ae - e - 1$ , we have  $a \geq A_e$  and there is a unique integer  $x$  such that  $0 \leq x \leq ae - e - 2$  and  $g = g_{a,e} - x$ . Take  $C$  as in Lemmas 6 and 7. Lemma 6 gives  $s_{e+1}(C) = ae$ . Hence it is sufficient to prove that  $s_{e+2}(C) > (e+2)ea/(e+1)$ . Assume  $z := s_{e+2}(C) \leq (e+2)ea/(e+1)$  and fix  $L \in \text{Pic}^z(C)$  evincing  $s_{e+2}(C)$ . The line bundle  $L$  is spanned,  $h^0(C, L) = e + 3$  and  $|L|$  induces a morphism



$v : C \rightarrow \mathbb{P}^{e+2}$  birationally onto its image and with  $v(C)$  a degree  $z$  non-degenerate curve with arithmetic genus  $\geq g$ . Set  $m_1 := \lfloor (z-1)/(e+2) \rfloor$ ,  $\epsilon_1 = z-1 - m_1(e+2)$ ,  $\mu_1 := 0$  if  $\epsilon_1 \neq e+1$  and  $\mu_1 := 1$  if  $\epsilon_1 = e+1$ . Set  $\pi_1(z, e+2) = (e+2)m_1(m_1-1)/2 + m_1(\epsilon_1+1) + \mu_1$ . Notice that

$$\pi_1(z, e+2) \leq z(z+2)/2(e+2) \leq ea(e+2)(ea(e+2)+2e+2)/(2(e+2)(e+1)^2).$$

Notice that  $e^2(e+2)^2/(2(e+2)(e+1)^2) < e/2$ . Since  $g > g_{a-1, e} = 1 + (a-1)(ae-2-2e)/2$ , we have  $g > \pi(z, e+2)$  if  $a \gg 0$ , say if  $a \geq A'_e$ . Hence [3], Theorem 3.15, gives that  $v(C)$  is contained in a degree  $e+1$  surface  $T \subset \mathbb{P}^{e+2}$ . By the classification of all minimal degree surfaces ([2]), either  $T$  is a cone over a rational normal curve or  $T \cong F_m$  embedded by the complete linear system  $|\mathcal{O}_{F_{e+1}}(h + (e+1+m)f)|$  for some integer  $m \equiv e+1 \pmod{2}$  with  $0 \leq m \leq e-1$ . In the latter case we set  $E := v(C)$ . In the former case  $T$  is the image of  $F_{e+1}$  by the complete linear system  $|\mathcal{O}_{F_{e+1}}(h + (e+1)f)|$ ; in this case set  $m := e+1$  and call  $E$  the strict transform of  $v(C)$  in  $F_{e+1}$ . In both cases  $E$  is a curve contained in  $F_m$  with  $C$  as its normalization. Call  $u' : C \rightarrow E$  the normalization map. Hence there are integers  $c, y$  such that  $E \in |\mathcal{O}_{F_m}(ch + yf)|$  with  $y \geq mc$  and  $c > 0$ . Lemma 4 gives  $c \geq a$ ; if  $m = 0$  it also gives  $y \geq a$ .

(a) Here we assume  $m \leq e-1$ . Let  $T' \subset \mathbb{P}^e$  be the image of  $F_m$  by the complete linear system  $|\mathcal{O}_{F_m}(h + (e+m)f)|$ . Since either  $T' \cong F_m$  (case  $m \neq e-1$ ) or  $T'$  is the blowing down of  $h$  (case  $m = e-1$ ), the image of  $E$  in  $T'$  gives  $s_e(C) \leq \mathcal{O}_{F_m}(h + (e+m)f) \cdot \mathcal{O}_{F_m}(ch + yf) = z - c$ . Since  $c \geq a$ , Lemma 7 gives  $z \geq c + ae - 2 \geq a(e+1) - 2$ , contradicting the assumption  $z \leq ea(e+2)/(e+1)$  (with  $a > 2(e+1)^2$ ).

(b) Now assume  $m = e+1$ . Since  $y \geq mc = (e+1)c$  and  $c \geq a$  (Lemma 6), this case is impossible.

The proof of Theorem 1 is complete.

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E. Ballico  
Dept. of Mathematics  
University of Trento  
38123 Povo (TN)  
Italy  
e-mail: [ballico@science.unitn.it](mailto:ballico@science.unitn.it)

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